



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of

Docket No.: Q64943

Michio YAMAZAKI, et al.

Appln. No.: 09/883,275

Group Art Unit: 1713

Confirmation No.: 9438

Examiner: Rip A. Lee

Filed: June 19, 2001

For: POLYMERIC FLUORESCENT SUBSTANCE AND POLYMER LIGHT-EMITTING
DEVICE USING THE SAME

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

European Patent No. EP 0 901 174 A2, published March 10, 1999;

European Patent No. EP 0 825 242 A2, published February 25, 1998;

European Patent No. EP 0 825 242 A3, published July 29, 1998;

Oguma *et al.*, "Synthesis and properties of liquid crystalline poly(*p*-phenylene) and poly(*p*-phenylenevinylene) derivatives," Synthetic Metals, Vol. 101, (1999), pp. 86-87;

Park *et al.*, "Steady state photoconductivity of Poly(2-styryl-1, 4- phenylene vinylene) (PSPV)," Synthetic Metals, Vol. 84, (1997), pp. 965-966;

Yoon *et al.*, "New light emitting poly(1,4-phenylenevinylene) derivative containing trifluoromethylstilbene group in the side chain," Synthetic Metals, Vol. 111-112, (2000), pp. 469-471; and

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Yang *et al.*, "Color-variable electroluminescence from poly(*p*-phenylene vinylene) derivatives," Displays, Vol. 21, (2000), pp. 65-68.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicants are filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.


The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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STATEMENT UNDER 37 C.F.R. § 1.704(d)

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P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,



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